

Fred Hoffman

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- A versatile, results-oriented professional with more than 25 years of experience in the fixed-income industry.
- Extensive experience with fixed income credit derivatives including but not limited to CDS, Index Swaps and ETF.
- Portfolio Manager for both SMAs, Hedge Funds and Structured Product Accounts.
- Entrepreneur and risk taker as evidenced by time at both start up organizations and global managers.
- A consistent record of exceptional achievement, as evidenced by superior risk adjusted returns in the credit market.
- Dedicated and intuitive; an in-depth knowledge of global capital markets, specializing in fixed income assets.

Academic Experience

Assistant Professor of Finance, Rutgers University, Rutgers School of Business **Spring 2017 - Current**
New Brunswick and Newark N.J.

- Classwork focusing on Credit, Fixed-Income, Money and Banking and Financial Regulations
- Charged with revamping Fixed-Income Curriculum for both Graduate and Undergraduate Students

Professional Experience

THIRD STREET PARTNERS, New York, New York **2017 - Current**
Executive Recruitment Consultant, Fixed Income and Credit

NAPIER PARK GLOBAL CAPITAL, New York, New York **2007 –2017**
Managing Director, Business Head, Partner

fka Citi Alternative Investments, Carlton Hill Global Capital

Citi Credit Strategies – U.S. Credit
Founding Partner, Managing Director

- Lead an alternative credit business consisting of both proprietary and third party assets totaling over \$4.5 billion of U.S. Credit Assets. Asset classes include Structured Credit Product, Credit Derivatives, Investment Grade Credit, High Yield Credit and Loans/CLO's.
- Staff included three portfolio managers, nine credit analysts, two traders and three person structured product team.
- Deep understanding and proficiency in all aspects of both cash and derivative markets, including trading, settlement and regulation.
- Joined Citi in 2007 as part of Citi Alternative Investments' acquisition of Carlton Hill Capital Management.

Key Accomplishments:

- Member of 11-person Executive Committee that oversaw the employee spin-out of Napier Park from Citigroup in March of 2013.
- Part of group that purchased CLO contracts from DiMaio-Ahmad totaling \$2.0 billion (2011).
- Successfully integrated different legacy (Citi) and cultural (Carlton Hill) business units into one reporting line.
- Implemented procedures to coordinate new issue allocation; updated, streamlined, and developed new departmental processes, which resulted in better allocations and compliance.

NORTHSTAR FINANCIAL SERVICES, Hamilton, Bermuda**2006 –2007*****Chief Investment Officer***

- Set investment guidelines, performance benchmarks and policies for General Account Assets of Northstar.
- Oversee the fund managers of Northstar assets, including separate account performance review and fee negotiation.
- Assist in pricing of new insurance products as well as renewal prices.
- Prepare all investment reporting for both Investment Committee and Board of Directors on activities of the investment portfolios.
- Allocated alternative investments by selecting various non-correlated hedge funds to assemble a custom Fund of Fund,

ALLIANCE BERNSTEIN, New York, NY**1991 – 2006*****Senior Vice President/Senior Portfolio Manager***

- Lead Portfolio Manager for a \$24 billion of general insurance account; collaborate with Chief Investment Officers and client investment committees to coordinate account management functions and ensure compliance with regulatory guidelines; provide guidance concerning RBC, income enhancement, and derivative transactions (FASB 133).
- Senior Member of Alliance Capital's Fixed-Income Insurance Team that included clients in Bermuda, U.S. and Far East.
- Served as main point of contact for top fixed income broker-dealer relationships encompassing a total credit volume in 2004 of \$29 billion with total fixed income volume of \$200 billion.
- Senior member of Hedge Fund Group and Credit Committee; member of portfolio team that specializes in covering corporate bond clients.
- Supervised two portfolio managers and eight portfolio assistants.

PRUDENTIAL INSURANCE COMPANY, Prudential Fixed Income, Newark, NJ**1986 – 1991*****Research Assistant*** – Fixed Income Trading Desk 1989-1991***System Developer***, 1987-1989***Computer Programmer***, 1986-1987

- Wrote and designed analytical fixed income programs including a GIC simulator and yield curve optimizers under a joint venture between Prudential and Gifford Fong Associates.

Education

- **Fairleigh Dickinson University**, Teaneck, NJ – Master of Arts in Financial Economics, 1993
- **Rutgers College, Rutgers University**, New Brunswick, NJ – Bachelor of Arts in Computer Science/Economics, 1986

Activities

Co-Head Rutgers University Class of 1986 Reunion Campaign

Founding Member of Rutgers "Road to Wall Street" a mentoring program for Rutgers Undergraduates Students

Board of Director, Student Leadership Academy, Rutgers Athletics, Rutgers University

Former Board of Directors, Intersect Fund, New Brunswick N.J.

Former Board of Trustees, Rainbow Foundation for Children, Middletown, NJ

Grand Champion – 2015 Martha's Vineyard Striped Bass and Bluefish Derby. 3900 participants

